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# Ab initio dynamical mean field theory with natural orbitals renormalization group impurity solver

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In this study, we introduce a novel implementation of density functional theory integrated with single-site dynamical mean-field theory to investigate the complex properties of strongly correlated materials. This ab initio many-body computational toolkit, termed  $\mathbb{Z}\mathrm{en}$ , utilizes the VASP and Quantum ESPRESSO codes to perform first-principles calculations and generate band structures for realistic materials. The challenges associated with correlated electron systems are addressed through two distinct yet complementary quantum impurity solvers: the natural orbitals renormalization group solver for zero temperature and the hybridization expansion continuous-time quantum Monte Carlo solver for finite temperatures. To validate the performance of this toolkit, we examine three representative cases: correlated metal SrVO3, unconventional superconductor  $\mathrm{La_3Ni_2O_7}$ , and Mott insulator MnO. The calculated results exhibit excellent agreement with previously available experimental and theoretical findings. Thus, it is suggested that the  $\mathrm{Zen}$  toolkit is proficient in accurately describing the electronic structures of d-electron correlated materials.

The physical and chemical properties of solid-state materials are remarkably varied and extensive. Nevertheless, upon tracking their origins, it becomes evident that the quantum behaviors of valence electrons are crucial. Consequently, a precise characterization of the microscopic behavior of valence electrons in solid-state materials is a fundamental requirement in the field of condensed matter physics.

Electromagnetic theory posits the existence of long-range Coulomb interactions among electrons. In scenarios where the interaction between electrons is significantly less than their kinetic energy, a single-particle approximation can be employed to analyze the motion of electrons within a solid. This foundational approach has led to the development of classical band theory, which has effectively elucidated the fundamental characteristics of conventional metals, semiconductors, and insulators. These materials are typically categorized as weakly correlated or non-correlated. In contrast, there exist strongly correlated materials<sup>1</sup>, such as certain transition metal oxides<sup>2</sup>, copper-based<sup>3</sup> and iron-based<sup>4</sup> unconventional superconductors, as well as cerium-based<sup>5</sup> and plutonium-based<sup>6</sup> heavy fermion systems. The electronic structures of these materials frequently exhibit narrow and partially filled *d*- or *f*-electron energy bands. In these cases, the Coulomb interaction among electrons is considerably greater than their kinetic energy, rendering the single-particle approximation inadequate<sup>7</sup>.

The collective motion of numerous electrons in strongly correlated materials leads to a variety of exotic phenomena, including colossal magnetoresistance, Mott metal-insulator transitions, unconventional superconductivity, non-Fermi liquid behavior, heavy fermion behavior, and the Kondo effect<sup>2–6,8</sup>. Classical band theory falls short in providing a coherent explanation for these phenomena. Consequently, the advancement of a theory for strongly correlated electrons that transcends classical band theory represents a prominent area of research within condensed matter physics<sup>9</sup>.

The development of a theoretical framework for strongly correlated electrons typically commences with the examination of simplified models that encapsulate strong correlation effects. While this approach significantly alleviates the complexity of the problem, it remains a challenging task, as most models characterized by strong correlations lack exact analytical solutions. Compounding this challenge is the fact that in strongly correlated systems, the bare Coulomb interaction is substantial, rendering conventional perturbation theory inapplicable. The advent of dynamical meanfield theory (dubbed DMFT) has provided valuable insights into this pressing issue  $^{10}$ . The fundamental premise of DMFT is that, in the limit of infinite dimensions, the electron self-energy  $\boldsymbol{\Sigma}$  is local and momentum-independent. Consequently, a general interacting lattice model can be self-consistently transformed into a single quantum impurity model, from

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which the properties of the original lattice model can be inferred by solving the quantum impurity model<sup>11</sup>. It is important to note that DMFT is inherently a local theory. Although it adopts a mean-field approximation to address spatial fluctuations of quantum states, which is equivalent to ignoring the non-local aspects of electron correlations, it rigorously accounts for temporal fluctuations and comprehensively captures the local components of electron correlations<sup>10</sup>. In principle, for interacting models, local correlations are of primary significance, while non-local correlations are often negligible. Consequently, over the past two decades, DMFT has emerged as a pivotal tool in the investigation of strongly correlated models. Through the application of DMFT, the understanding of various strongly correlated systems, including the Hubbard model, the t-J model, and the periodic Anderson model, has reached unprecedented levels, leading to the resolution of numerous longstanding physical challenges<sup>11</sup>. Currently, DMFT has evolved into numerous extensions, enabling its application to the study of disordered, inhomogeneous, and non-equilibrium systems<sup>12-14</sup>.

Merely addressing strongly correlated models is insufficient, it is imperative to investigate the electronic structures and physical properties of realistic materials. The DMFT method is adept at managing correlation effects among electrons, yet it is primarily applicable to the analysis of model Hamiltonians 10,11. On the contrary, classical band theory, exemplified by the widely utilized density functional theory (dubbed DFT), while inadequate in accurately addressing electron-electron interactions in strongly correlated materials, excels in elucidating crystal field splitting and the chemical environment of realistic materials without reliance on empirical parameters. Given that both methodologies possess distinct advantages and limitations that complement one another, it is natural to integrate them to formulate the DFT + DMFT approach. The standard procedure for DFT + DMFT calculations is delineated as follows 15,16. Initially, a conventional DFT calculation is performed to derive the band structure under the single-particle approximation, followed by the construction of a model Hamiltonian for the correlated orbitals of interest, devoid of empirical parameters. Subsequently, interaction terms, including Coulomb interactions and spin-orbit coupling, are incorporated into this model Hamiltonian. Finally, the DMFT method is employed to solve the effective model Hamiltonian, thereby extracting the ground state and spectroscopic properties of realistic materials. The pioneering application of the DFT + DMFT method to investigate the photoelectron spectrum of La-doped SrTiO<sub>3</sub> was conducted by Anisimov et al.<sup>17</sup>. Since that time, the DFT + DMFT method has become a dominant approach in the realm of first-principles calculations for strongly correlated materials. Particularly in recent years, during the surge of research into various unconventional superconductors<sup>18-21</sup>, strongly correlated kagome materials<sup>22–24</sup>, and cerium-based heavy fermion materials<sup>25–27</sup>, the DFT + DMFT method has shown its unique brilliance.

In the past decade, significant efforts have been dedicated to the development of efficient first-principles software packages for DFT+ DMFT calculations, thereby facilitating the broader application of this method. Currently, several open-source DFT+DMFT packages have been released, including w2dynamics<sup>28</sup>, TRIQS<sup>29-31</sup>, ALPSCore<sup>32,33</sup>, eDMFT<sup>34-36</sup>, DCore<sup>37</sup>, Questaal<sup>38</sup>, Abinit<sup>39-41</sup>, ABACUS<sup>42</sup>, ComDMFT<sup>43</sup>, DMFTwDFT<sup>44</sup>, and solid\_dmft<sup>45</sup>, among others. Notably, the w2dynamics, TRIQS, and ALPSCore packages primarily incorporate high-performance quantum impurity solvers, utilizing the hybridization expansion continuous-time quantum Monte Carlo algorithm (dubbed CT-HYB)46-48. Other software packages, with the exceptions of eDMFT35 and ComDMFT<sup>49</sup>, do not incorporate individual quantum impurity solvers; instead, they typically employ publicly available CT-HYB impurity solvers. These packages offer flexible interfaces to connect DFT codes with quantum impurity solvers, supporting both fully self-consistent and one-shot DFT + DMFT calculations aiming at investigating the electronic structures and lattice dynamics of strongly correlated materials<sup>50–52</sup>. They are capable of producing various physical observables, such as spectral functions, Fermi surfaces, total energies, forces, and phonon band structures, which can be readily compared with experimental data. Notably, the Questaal<sup>38</sup> and ComDMFT<sup>43</sup> packages can incorporate the quasiparticle approximation (dubbed GW)<sup>53</sup> within the DFT part and support the GW +DMFT calculation mode<sup>54,55</sup>. Additionally, the w2dynamics package can interface with the AbinitioD $\Gamma$ A code<sup>56</sup> to facilitate ab initio dynamical vertex approximation (D $\Gamma$ A) calculations<sup>57–59</sup>. Overall, while these packages exhibit similarities, their distinctions primarily arise from the selection of DFT codes and the definitions of local basis sets employed in constructing low-energy effective Hamiltonians.

Though many DFT + DMFT software packages have been published, another open-source implementation is always beneficial for the community. In this paper, we are pleased to introduce Zen, a new DFT + DMFT toolkit. This toolkit offers the following features: (i) It supports two types of local orbitals: projected local orbitals (interfaced with VASP<sup>60,61</sup>) and maximally localized Wannier functions (interfaced with Quantum ESPRESSO<sup>62,63</sup> and Wannier90<sup>64</sup>). (ii) It includes two quantum impurity solvers: the natural orbitals renormalization group solver (dubbed NORG)<sup>65,66</sup> and the CT-HYB solver (interfaced with iQIST<sup>67,68</sup>). The NORG impurity solver operates at zero temperature, while the CT-HYB impurity solver works for finite temperature. These two quantum impurity solvers are complementary. (iii) It features a powerful analytic continuation backend, namely ACFlow<sup>69</sup>, which implements several state-of-the-art analytic continuation methods, including the maximum entropy method, stochastic analytic continuation, and stochastic pole expansion, among others. ACFlow can convert single-particle or two-particle correlation functions from the imaginary time or imaginary frequency axis to the realfrequency axis. (iv) The Zen toolkit is developed using the Julia programming language and supports large-scale parallel calculations. Furthermore, it can be executed in interactive mode, allowing users to monitor calculations and dynamically adjust computational parameters. After extensive testing, we suggest that this toolkit is well-suited for first-principles calculations of correlated *d*-electron materials.

The remainder of this paper is organized as follows. Firstly, we provide a brief overview of the core components of the Zen toolkit, including the flowchart, DFT codes, DMFT engine, quantum impurity solvers, and utilities for analytic continuation. Secondly, we summarize the computational parameters and then present the benchmark results for the correlated metal  $SrVO_3$ , the unconventional superconductor  $La_3Ni_2O_7$ , and the Mott insulator MnO, comparing them with previously published theoretical and experimental results. Finally, a brief discussion is provided.

# **DFT** + **DMFT** method

The theoretical foundation and computational procedure of the DFT + DMFT method are now well established  $^{15,16}$ . This section will focus on the overall framework of Zen, briefly describing the features and underlying formulas for the major computational components, with particular emphasis on the differences between Zen and the other DFT + DMFT calculation softwares. The technical details of Zen will be presented in a successive paper.

# **Flowchart**

Here's a brief overview of the basic steps about how to perform charge fully self-consistent DFT + DMFT calculations using Zen (see Fig. 1) $^{15}$ . (i) DFT calculation. At first, we need to perform standard DFT calculations to obtain electronic structures of the materials. By choosing a reasonable local basis, the low-energy effective Hamiltonian for correlated orbitals and important ligand orbitals is constructed. This step provides a starting point for the DFT +DMFT calculation. (ii) DMFT self-consistency. The low-energy effective Hamiltonian is supplemented with the local interaction term. Then it is solved self-consistently within the DMFT framework<sup>11</sup>. To be more specific, it is mapped onto an effective impurity model, where the correlated electrons are treated as an impurity embedded in a non-interacting bath. The local impurity problem is solved using various quantum impurity solvers. The impurity solver generates new Green's function G and self-energy function  $\Sigma$  for the impurity model. G and  $\Sigma$  are then used to calculate new bath Green's function  $G_0$  and hybridization function  $\Delta$ . They will be fed back into the impurity solver again. The process is repeated until the

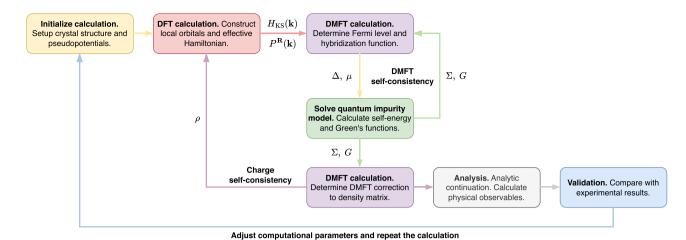


Fig. 1 | Schematic picture of the standard DFT + DMFT calculation flowchart as implemented in the Zen toolkit.  $H_{KS}(\mathbf{k})$ : Low-energy effective Hamiltonian for correlated orbitals and ligand orbitals.  $P^{R}(\mathbf{k})$ : Projection matrix between local basis and Kohn-Sham basis.  $\Delta$ : Hybridization function.  $\Sigma$ : Self-energy function. G: Green's

function.  $\mu$ : Fermi level.  $\rho$ : Density matrix. The DFT interface (pink box) supports the VASP and Quantum ESPRESSO codes. The quantum impurity solvers (green box) can be NORG or CT-HYB. The analytic continuation calculations are done by the ACFlow toolkit (gray box). See main text for more details.

hybridization function and self-energy function converge to a consistent solution. (iii) *Charge self-consistency*. In addition to the DMFT self-consistency loop, a charge self-consistency step is superimposed to ensure that the total charge density of the material is converged. This involves adjusting the charge density until the total charge matches the expected value. (iv) *Analysis*. Once the self-consistent solution is converged, we should analyze the results to extract physical properties such as the density of states, spectral functions, and other relevant quantities. (v) *Validation*. We have to validate the results by comparing them with experimental data or other theoretical results. If necessary, the computational model or parameters should be refined, and the calculation could be repeated.

In the Zen toolkit, the above computational steps are encapsulated in four components. They are the DFT, DMFT, quantum impurity solver, and post-processing components. The four components exchange parameters and data through files. They are manipulated by the Zen core library, which is written in Julia language. By using the Zen core library, the users can perform DFT + DMFT calculations step by step.

# **DFT** codes

Now the Zen toolkit is interfaced with two popular DFT codes, namely VASP<sup>60,61</sup> and Quantum ESPRESSO<sup>62,63</sup>. Both codes implement the pseudopotential plane-wave method. In the present work, the projector augmented wave (dubbed PAW) method is adopted<sup>70</sup>.

The VASP interface builds on the projected local orbitals (dubbed PLO) scheme<sup>39</sup>, where the resulting Kohn-Sham states  $|\Psi\rangle$  from DFT calculations are projected on localized orbitals  $|\chi\rangle$ , which defines a basis for setting up a Hubbard-like model Hamiltonian. As is described in detail in ref. 39, the projection matrix P between  $|\chi\rangle$  and  $|\Psi\rangle$  in the PAW framework can be written as

$$P^{\mathbf{R}}(\mathbf{k}) = \sum_{i} \langle \chi^{\mathbf{R}} | \phi_{i} \rangle \langle \tilde{p}_{i} | \tilde{\Psi}_{\mathbf{k}} \rangle. \tag{1}$$

Here, the index i denotes the PAW channel n, the angular momentum quantum number l, and its magnetic quantum number m.  $|\chi^R\rangle$  are localized basis functions associated with the correlated site  $\mathbf{R}$ .  $|\tilde{\Psi}_{\mathbf{k}}\rangle$  are the pseudo-Kohn-Sham states.  $|\phi_i\rangle$  and  $|\tilde{p}_i\rangle$  are the all-electron partial waves and the standard PAW projectors, respectively. They can be extracted from the PAW dataset directly. The projection matrix P will be calculated and written into the file "LOCPROJ" by the VASP code. The Zen toolkit will parse this file, read in the projection matrix, and filter out the Kohn-Sham states that do not belong to the given energy window. Then the projection matrix is

further orthogonalized to make sure that the local basis in the restricted energy window is orthonormal.

The Quantum ESPRESSO interface  $^{62,63}$  should be used in conjunction with the Wannier90 code  $^{64}$ . It supports two different schemes. One is the traditional PLO scheme as introduced above  $^{39}$ . When this scheme is used, the Zen toolkit will extract the projection matrix from the "seedname.amn" file. Another scheme builds on the maximally-localized Wannier functions (MLWF)  $^{71}$ . The symmetry-adapted Wannier functions (SAWF) are also supported  $^{72}$ . The  $U^{\text{dis}(k)}$  and  $U^{(k)}$  matrices are responsible for disentangling the correlated orbitals, and transforming Bloch bands  $|u_{\mathbf{k}}\rangle$  into Wannier orbitals  $|w_{\mathbf{k}}\rangle$ . The Zen toolkit will read the two matrices from files "seedname\_u\_dis.mat" and "seedname\_u.mat", respectively. Finally, the two matrices are combined to build the projection matrix P.

We note that both the VASP and Quantum ESPRESSO interfaces support DFT+DMFT charge self-consistent calculations. That is to say the two codes can read the DMFT correction to the density matrix  $\rho$ , and then restart the DFT calculation to generate a new projection matrix P.

# **DMFT** engine

In the Zen toolkit, the DMFT engine is developed with the Fortran 90 language. The following tasks should be accomplished in the DMFT engine.

**Lattice Green's function**. The expression for lattice Green's function is as follows <sup>16</sup>:

$$G^{\mathbf{R}}(i\omega_n) = \frac{1}{\Omega_{\text{by}}} \int d^3\mathbf{k} P^{\mathbf{R}}(\mathbf{k}) G(\mathbf{k}, i\omega_n) P^{\mathbf{R}*}(\mathbf{k}), \tag{2}$$

$$G(\mathbf{k}, i\omega_n) = \frac{1}{(i\omega_n + \mu)\mathbb{I} - H_{\text{KS}}(\mathbf{k}) - \Sigma(i\omega_n) + \Sigma_{\text{dc}}}.$$
 (3)

Here,  $\Omega_{\rm bz}$  is the volume of the first Brillouin zone,  $P^{\rm R}({\bf k})$  is the projection matrix,  $\mathbb I$  is the identity matrix,  $\mu$  is the Fermi level,  $H_{\rm KS}({\bf k})$  is the Kohn–Sham Hamiltonian, and  $\Sigma_{\rm dc}$  is the double counting term for self-energy function. Note that the right-hand side of Eq. (2) is a typical Brillouin zone integration in the complex-energy plane. The Lambin-Vigneron analytical tetrahedron method is employed to calculate this integral. In order to accelerate the calculation, the integration algorithm is fully parallelized over the k-points.

**Hybridization function**. The hybridization function  $\Delta(i\omega_n)$  describes the hybridization effect between impurity electrons and conduction

electrons. Its expression is as follows<sup>11</sup>:

$$\Delta(i\omega_n) = i\omega_n - E_{\rm imp} - G^{-1}(i\omega_n) - \Sigma(i\omega_n), \tag{4}$$

where the impurity level  $E_{imp}$  reads

$$E_{\rm imp} = \frac{1}{\Omega_{\rm by}} \int d^3 \mathbf{k} \left[ H_{\rm KS}(\mathbf{k}) - \Sigma_{\rm dc} - \mu \mathbb{I} \right]. \tag{5}$$

Both the hybridization function and impurity level are essential inputs for the quantum impurity solvers.

**Orbital occupancy**. Given the Fermi level  $\mu$ , to calculate the orbital-resolved impurity occupancy  $N_{\alpha}$  is not a trivial problem. In principle, the orbital occupancy is defined by

$$N_{\alpha} = T \sum_{\mathbf{k}} \sum_{n} \frac{1}{i\omega_{n} + \mu - \epsilon_{\alpha \mathbf{k}}(i\omega_{n})},\tag{6}$$

where  $\alpha$  is the orbital index,  $\epsilon_{\alpha \mathbf{k}}(i\omega_n)$  are the eigenvalues of the Hamiltonian  $H_{\rm KS}(\mathbf{k}) + \Sigma(i\omega_n) - \Sigma_{\rm dc}$ . But the above equation is seldom used in practical calculations because there is a long "tail"  $\propto \frac{1}{i\omega_n}$ . If we want to use Eq. (6), we have to consider a large number of Matsubara frequency points to obtain accurate  $N_\alpha$ . This is rather inefficient. In order to overcome this problem, we adopt the following equation to evaluate  $N_\alpha$ :

$$N_{\alpha} = \sum_{\mathbf{k}} f(\epsilon_{\alpha \mathbf{k}}^{\infty} - \mu) + 2T \sum_{\mathbf{k},n} \left[ \frac{1}{i\omega_n + \mu - \epsilon_{\alpha \mathbf{k}}} - \frac{1}{i\omega_n + \mu - \epsilon_{\alpha \mathbf{k}}^{\infty}} \right]. \quad (7)$$

Here,  $f(\epsilon)$  is the Fermi-Dirac distribution function, and  $\epsilon_{\rm dk}^{\infty}$  are actually the eigenvalues of the Hamiltonian  $H_{\rm KS}({\bf k}) + \Sigma(i\infty) - \Sigma_{\rm dc}$ . The first term in the right hand side of Eq. (7) is the contribution of the "tail" part, while the second term is from the contributions of the residual part<sup>34</sup>.

**Double counting term.** When combining DFT and DMFT, the electronelectron interactions are included in both the DFT part (through the exchange-correlation functional) and the DMFT part (through the local quantum impurity solver). To avoid double counting these interactions, a correction term must be subtracted from the self-energy function  $\Sigma$ . This correction term is what we call the double counting term  $\Sigma_{dc}$ . Now the exact expression for  $\Sigma_{dc}$  is not known. In the DMFT engine, the following empirical formulas are supported to subtract the double counting term: (i) Fully localized limit (FLL) scheme<sup>74</sup>.

$$\Sigma_{\rm dc} = U\left(N - \frac{1}{2}\right) - \frac{J}{2}(N - 1).$$
 (8)

Here N means the total impurity occupancy, which should be changed dynamically during the DFT + DMFT iterations. The FLL scheme is usually employed in the calculations for Mott insulators and band insulators. In general, N in Eq. (8) is replaced with  $n_0$ , the nominal impurity occupancy. It is called the nominal double counting in the literature<sup>36</sup>. (ii) Around meanfield (AMF) scheme<sup>74</sup>.

$$\Sigma_{\rm dc} = \frac{UN}{2} + (U - I) \frac{Nl}{2l + 1}.$$
 (9)

Here l denotes the quantum number of angular momentum of correlated orbitals. For d-electron systems, l = 2. The AMF scheme is suitable for strongly correlated metals. (iii) Held's scheme<sup>15</sup>.

$$\Sigma_{\rm dc} = \bar{U} \left( N - \frac{1}{2} \right),\tag{10}$$

where the averaged interaction  $\bar{U}$  reads

$$\bar{U} = \frac{U + (M-1)(2U - 5J)}{2M - 1}.$$
 (11)

Here *M* is the number of correlated orbitals.

**Fermi level.** During the DFT + DMFT iterations, the Fermi level  $\mu$  should be adjusted dynamically, such that the impurity occupancy is equal to the nominal one. For given  $\Sigma(i\omega_n)$ , the eigenvalues  $\epsilon_{\alpha \mathbf{k}}$  and  $\epsilon_{\alpha \mathbf{k}}^{\infty}$  are at first calculated. Then Eq. (7) is used to evaluate the impurity occupancy for the current Fermi level. By using the classic bisection algorithm, it is easy to determine the desired Fermi level.

Charge fully self-consistency. The DFT + DMFT density matrix  $\rho$  is calculated in the Kohn–Sham space:

$$\rho(\mathbf{k}) = \sum_{n} G(\mathbf{k}, i\omega_n) e^{i\omega_n 0^+}.$$
 (12)

Then correction to the DFT density matrix  $\rho_0$  reads:

$$\Delta \rho(\mathbf{k}) = \rho(\mathbf{k}) - \rho_0(\mathbf{k}). \tag{13}$$

Note that  $\rho(\mathbf{k})$  is a non-diagonal matrix, while  $\rho_0(\mathbf{k})$  is diagonal.  $\Delta\rho(\mathbf{k})$  should be transformed into real space, and then fed back to the DFT codes to start a new DFT + DMFT iteration. Once  $\rho(\mathbf{k})$  is less than a given critical value, it is suggested that the charge density is converged and we can terminate the calculation.

### **NORG** impurity solver

The NORG method is an innovative approach within the field of quantum many-body physics, designed to address the complex problem of interacting electron systems. Traditional methods, such as exact diagonalization (ED) and quantum Monte Carlo (QMC), face severe limitations due to exponential scaling in computational complexity and the notorious sign problem, respectively. The NORG method offers a non-perturbative alternative that is particularly adept at handling strong electron correlations across various coupling regimes.

The NORG method is grounded in the concept of natural orbitals, which are the eigenvectors of the single particle density matrix. These natural orbitals provide a basis for representing many-body wave functions in an optimized manner. The NORG method involves an iterative renormalization group procedure that constructs a structured subspace by projecting onto active natural orbitals. This allows for the accurate solution of quantum impurity problems with multiple impurities, which is beyond the scope of traditional numerical renormalization group methods that are limited to at most two impurities<sup>66</sup>. The core of the NORG algorithm involves several steps: Starting with an arbitrary but complete set of natural orbitals, selecting a subset to form a subspace, constructing an effective Hamiltonian, diagonalizing it to obtain the ground state, forming the single particle density matrix, and diagonalizing it to obtain a new set of natural orbitals. This process is iterated until convergence<sup>65</sup>.

The Zen toolkit includes a high-performance NORG impurity solver written in C++. The impurity solver introduces a "shortcut" trick that significantly improves the efficiency of the NORG algorithm by imposing restrictions on the orbital occupancy distribution, thereby reducing the Hilbert space dimension. This "shortcut" NORG method has been demonstrated to be dramatically faster than the general NORG method, offering a powerful tool for studying ground state and low-energy properties of quantum cluster-impurity models. Quite recently, this NORG impurity solver has been embedded into the eDMFT package<sup>34</sup> to study unconventional superconductivity and electron correlations in La<sub>3</sub>Ni<sub>2</sub>O<sub>7</sub><sup>75</sup>. The technical details of NORG will be presented in a successive paper.

### **CT-HYB** impurity solver

The impurity solvers based on the quantum Monte Carlo (OMC) algorithms exhibit several advantages. First of all, they are built on top of the imaginary time action, in which the infinite bath has been integrated out. Second, they can treat arbitrary couplings, and can thus be applied to all kinds of phases, including the metallic phase, insulating state, and phases with spontaneous symmetry breaking. Third, the QMC impurity solvers are numerically exact with a controllable numerical error. These are the reasons why the QMC impurity solvers are considered as the method of choice in the DMFT and DFT + DMFT calculations<sup>11,16</sup>. The CT-HYB impurity solver is an important variation of the continuous-time quantum Monte Carlo (CT-QMC) method<sup>46</sup>. In this impurity solver, the partition function of the quantum impurity problem is diagrammatically expanded in the impuritybath hybridization term. Then the diagrammatic expansion series is evaluated by the Metropolis Monte Carlo algorithm. The continuous-time nature of the algorithm means that the impurity operators can be placed at any arbitrary position on the imaginary time interval, so that time discretization errors can be completely avoided. Perhaps the CT-HYB is the most popular and powerful QMC impurity solver so far, since it can be used to solve multi-orbital impurity models with general interactions at low temperature<sup>35,47,48</sup>.

In the Zen toolkit, the well established iQIST package is imported to contribute highly optimized CT-HYB impurity solvers<sup>67,68</sup>, which support both the segment representation<sup>48</sup> and general matrix representation<sup>47</sup> algorithms. The former is suitable for the impurity models with density-density type interaction term. While the latter suits the impurity models with general interaction terms (such as rotationally invariant interaction with spin-orbit coupling term). The segment representation algorithm is extremely efficient. But the general matrix representation algorithm needs much more effort. In the iQIST package, the following strategies are adopted to accelerate the calculations. (i) The local Hamiltonian is partitioned into sub-blocks, which are labeled by using good quantum numbers<sup>35</sup>. A smart auto-partition algorithm suggested by P. Seth et al. is implemented<sup>31</sup>. Of course, the users can specify the partition scheme manually. (ii) The Hilbert space of the impurity problem can be truncated dynamically or by the nominal impurity occupancy. (iii) The lazy trace evaluation trick<sup>76</sup>, sparse matrix multiplication, and red-black tree algorithm<sup>31</sup> are implemented to speed the computation of trace term in the Monte Carlo transition probability<sup>35,47</sup>. (iv) The CT-HYB impurity solvers are fully parallelized by using the message passing interface (MPI). In addition, the iQIST package can measure the single-particle Green's functions, two-particle Green's functions, and vertex functions. It supports the Legendre orthogonal polynomial representation<sup>77</sup> and intermediate representation<sup>78</sup> for Green's functions and improved estimator for selfenergy functions<sup>79</sup>. These tricks can suppress the random noise effectively.

Just like the other QMC impurity solvers, the CT-HYB impurity solver suffers from the fermionic sign problem. This problem becomes severe when the hybridization function is non-diagonal, or spin-orbit coupling is present, or the system's temperature is relatively low. Thus, the calculated results by the CT-HYB impurity solver become unreliable. At this time, we could turn to the NORG impurity solver.

### **Analytic continuation**

Often quantum impurity solvers working on the Matsubara axis are used within the  $\mathrm{DFT}+\mathrm{DMFT}$  framework. Their outputs are usually Matsubara

Green's functions  $G(i\omega_n)$  and self-energy functions  $\Sigma(i\omega_n)$ . In order to compare with the experimental results, they must be converted into real-frequency axis. Especially, to calculate the momentum-resolved spectral function  $A(\mathbf{k},\ \omega)$ , Fermi surface, and optical conductivity  $\sigma(\omega)$ , real-frequency self-energy function  $\Sigma(\omega)$  is an essential input. Notice that  $G(i\omega_n)$  and the spectral function  $A(\omega)$  are related by the following Laplace transformation:

$$G(i\omega_n) = \int_{-\infty}^{\infty} \frac{A(\omega)}{i\omega_n - \omega} d\omega. \tag{14}$$

Given  $G(i\omega_n)$ , solving Eq. (14) to extract  $A(\omega)$  is the so-called analytic continuation problem<sup>80</sup>. Once  $A(\omega)$  is obtained, the retarded Green's function  $G(\omega)$  can be easily evaluated via the Kramers–Kronig transformation. To extract  $\Sigma(\omega)$  from  $\Sigma(i\omega_n)$ , we should at first subtract the Hartree–Fock term  $\Sigma_{\rm HF}$  from  $\Sigma(i\omega_n)$ :

$$\tilde{\Sigma}(i\omega_n) = \Sigma(i\omega_n) - \Sigma_{HF}.$$
(15)

And then an auxiliary Green's function  $G_{\text{aux}}(i\omega_n)$  is constructed:

$$G_{\text{aux}}(i\omega_n) = \frac{1}{i\omega_n - \tilde{\Sigma}(i\omega_n)}.$$
 (16)

Next, we perform analytic continuation for  $G_{\text{aux}}(i\omega_n)$  to get  $G_{\text{aux}}(\omega)$ . By inverting Eqs. (15) and (16), we finally obtain  $\Sigma(\omega)$ .

Until now, analytic continuation is still a challenging, yet to be solved problem. In the Zen toolkit, a full-fledged analytic continuation package, namely ACFlow<sup>69</sup>, is included. It supports various analytic continuation methods, including the maximum entropy method (MaxEnt)<sup>80</sup>, Nevanlinna analytical continuation (NAC)81, barycentric rational function approximation (BarRat), stochastic analytic continuation (SAC)82,83, stochastic optimization method (SOM)<sup>84</sup>, and stochastic pole expansion (SPX)<sup>85,86</sup>, etc. These methods have their own pros and cons. For examples, the MaxEnt method is quite efficient, but it tends to generate smooth and broad spectral function<sup>80</sup>. The BarRat method is even more efficient than the MaxEnt method. It can resolve both sharp and broad spectral functions. But sometimes the sum-rules about the spectral functions might be violated. The SPX method employs the pole representation to approximate Matsubara Green's function and relies on a simulated annealing algorithm to figure out the optimal pole representation. It is somewhat time-consuming, but it can resolve fine features in the spectra 85,86. The ACFlow package provides some diagnostic tools. With them, we can easily compare and crosscheck the analytic continuation results obtained by various methods. The ACFlow package supports parallel computing. Furthermore, it is developed with the Julia language, which makes it more interactive with the other components of the Zen toolkit.

# Results

In this section, we would like to benchmark the Zen toolkit. Here, we consider three typical examples, including the correlated metal  $SrVO_3$ , unconventional superconductor  $La_3Ni_2O_7$ , and Mott insulator MnO. The calculated results are compared with the experimental data and previous DFT+DMFT results, if available.

Table 1 | The DFT + DMFT computational parameters used in the present work

Case	Correlated orbitals	N <sub>site</sub>	N <sub>imp</sub>	<b>E</b> cut	k-mesh	U	J	Projection window
SrVO <sub>3</sub>	V-3d	1	1.0	400 eV	$9 \times 9 \times 9$	4.0 eV	0.7 eV	$[-1.4\mathrm{eV},6.0\mathrm{eV}]$
La <sub>3</sub> Ni <sub>2</sub> O <sub>7</sub>	$Ni-e_g(d_{z^2}+d_{x^2-y^2})$	1	7.5	400 eV	9 × 9 × 9	5.0 eV	1.0 eV	$[-8.0\mathrm{eV},4.0\mathrm{eV}]$
MnO	Mn-3 <i>d</i>	1	5.0	400 eV	13 × 13 × 13	8.0 eV	0.5 eV	[-8.0 eV, 3.0 eV]

Here,  $N_{\text{site}}$  means the number of inequivalent impurity atoms in the unit cell,  $N_{\text{imp}}$  means the nominal impurity occupancy, and  $E_{\text{cut}}$  is the cutoff energy for plane-wave expansion.

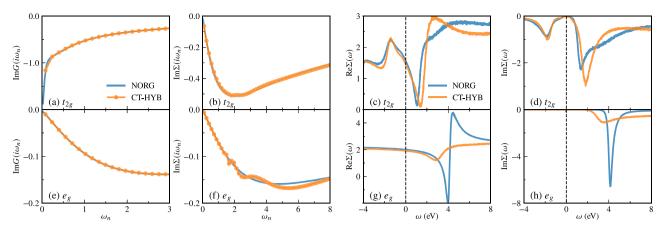


Fig. 2 | Calculated Green's functions and self-energy functions for V's  $t_{2g}$  and  $e_g$  orbitals in SrVO<sub>3</sub>. a, e Im $G(i\omega_n)$ . b, f Im $\Sigma(i\omega_n)$ . c, g Re $\Sigma(\omega)$ . The upper panels are for the  $t_{2g}$  orbitals, and the lower panels are for the  $e_g$  orbitals. The vertical dashed lines denote the Fermi levels.

### General setup

All the DFT + DMFT calculations were done by using the Zen toolkit. The relevant parameters are collected in Table 1.

For the DFT part, only the VASP interface was tested 60,61. We chose the experimental crystal structures for the three compounds. Core and valence electrons were treated within the PAW formalism<sup>70</sup>. The generalizedgradient approximation (GGA) was used for the exchange-correlation functional within the Perdew-Burke-Ernzerhof (PBE) approach<sup>87</sup>. The Brillouin zone was sampled using a  $\Gamma$ -centered Monkhorst-Pack k-point grid. For the sake of simplicity, the systems were restricted to being nonmagnetic. Once the DFT calculations were converged, the PLO scheme was applied to construct the projection matrices for V-3d, Ni-3d, and Mn-3d orbitals. For the DMFT part, the double counting terms were built with the FLL scheme  $^{74}$ . In Eq. (8), the impurity occupancy N was fixed to the nominal one<sup>36</sup>. The interaction parameters, including Coulomb repulsion interaction U and Hund's exchange interaction J, were obtained from the references<sup>88–90</sup>. For the quantum impurity solvers part, both the NORG and CT-HYB impurity solvers were used. Here, only the density-density type interactions were considered. In other words, the pair-hopping and spin-flip terms were ignored. The NORG impurity solver works at zero temperature, corresponding to  $\beta = \infty$ . We set an effective inverse temperature  $\beta^{\text{eff}} = 50\pi \,\text{eV}^{-1}$ for the effective Matsubara frequencies  $\omega_n = (2n+1)\pi/\beta^{\text{eff}} = 0.02(2n+1)$ eV in the calculation of Matsubara Green's functions. We found that four bath orbitals per impurity orbital are adequate to faithfully describe the noninteracting electron bath in the quantum impurity model derived from the DMFT<sup>91</sup>. So the number of bath orbitals per impurity orbital  $n_b$  was set to at least 4. A parallel Lanczos algorithm was employed to achieve highperformance computation in finding the ground state and Green's function. 64 CPU cores were utilized in the calculations. For the CT-HYB impurity solver,  $\beta = 40.0 \text{ eV}^{-1}$ , which corresponds to approximately 290 K. The Legendre orthogonal polynomial representation for the Green's function<sup>77</sup> and the improved estimator for self-energy function<sup>79</sup> were adopted to suppress the stochastic noises. The number of Monte Carlo sweeps was 108 per process, and 64 CPU cores were utilized in the calculations.

For SrVO<sub>3</sub> and La<sub>3</sub>Ni<sub>2</sub>O<sub>7</sub>, we performed charge fully self-consistent DFT + DMFT calculations. For each DFT + DMFT iteration, 10 DFT internal cycles and a one-shot DMFT calculation were executed. In most cases, 60 DFT + DMFT iterations were adequate to obtain well converged charge density  $\rho$  and total energy  $E_{\rm tot}$ . The converged criteria for total energy was set to  $10^{-6}$  eV, respectively. For MnO, we performed one-shot DFT + DMFT calculations. That is to say, the self-energy function, instead of total energy and charge density, was converged. The converged criterion for self-energy function was set to  $10^{-4}$  eV. Usually 40 DMFT iterations were enough. Once the DFT + DMFT calculations were converged, we just used the ACFlow package<sup>69</sup> to perform analytic continuation to extract the spectral functions  $A(\omega)$  and real-frequency self-energy functions  $\Sigma(\omega)$ . The

analytic continuation calculations were at first done by the SPX method<sup>85</sup> and then crosschecked by the MaxEnt method<sup>80</sup>.

# Correlated metal SrVO<sub>3</sub>

SrVO<sub>3</sub> is a typical correlated metal. It crystallizes in a cubic perovskite structure. Its space group is  $Pm\overline{3}m$ . The experimental lattice parameter is  $a=3.8410~\text{Å}^{92}$ . The electronic structure of SrVO<sub>3</sub> is quite simple. Under cubic crystal fields, the five V-3d orbitals should be split into triply degenerate  $t_{2g}$  orbitals and double degenerate  $e_g$  orbitals. The three  $t_{2g}$  orbitals cross the Fermi level. They are well separated from the empty  $e_g$  orbitals and the fully occupied O-2p orbitals. Thus, a minimal model for SrVO<sub>3</sub> can include only the three  $t_{2g}$  orbitals. This makes SrVO<sub>3</sub> an ideal system to examine various beyond-DFT methods<sup>39,93,94</sup>. In the present work, both the  $t_{2g}$  and  $e_g$  orbitals were treated as correlated. The corresponding interaction parameters and projection window are summarized in Table 1.

Figure 2 shows the calculated Green's functions and self-energy functions. Let's focus on the Matsubara data at first. Though the calculations were done at different temperatures, overall the results obtained by the NORG and CT-HYB impurity solvers agree quite well with each other. The only exception lies in  $\text{Im}\Sigma(i\omega_n)$  for  $e_{\sigma}$  orbitals as obtained by using the CT-HYB impurity solver. It exhibits obvious oscillations in the range of 1.5–6 eV [see Fig. 2f]. We believe that such oscillations can be attributed to the improved estimator for self-energy function. In general, this estimator can suppress random noises, but sometimes it might induce some sorts of oscillations in the mid-frequency range<sup>46,79</sup>. These oscillations are hardly eliminated through increasing the Monte Carlo samplings. We note that another approach to evaluate the self-energy function is through the Dyson equation, instead of Monte Carlo sampling. But this method is numerically unstable. It suffers more significant oscillations at high-frequency region. In addition, we can see that low-frequency part of  $\text{Im}\Sigma(i\omega_n)$  for the  $t_{2g}$  orbitals exhibits quasi-linear behavior, and the intercept at  $i\omega_n \to 0$  is nearly zero, which is consistent with the Fermi liquid theory.

Next, we turn to the real-frequency self-energy functions. By using the following equation:

$$m^*/m = 1/Z = 1 - \frac{\partial \text{Re}\Sigma(\omega)}{\partial \omega}\Big|_{\omega=0}$$
, (17)

we can easily calculate the electronic effective masses  $m^*$  and quasiparticle weights Z for correlated orbitals. We find that  $m^*/m$  for the  $t_{2g}$  orbitals is approximately 1.8, which is consistent with previous DFT + DMFT calculations<sup>39,93</sup>. Furthermore,  $\text{Im}\Sigma(\omega=0)$  for  $t_{2g}$  orbitals are close to zero, which indicates that the low-energy electron scattering is trivial.

Figure 3 shows the spectral functions for V-3ds  $t_{2g}$  and  $e_g$  orbitals. The photoemission spectrum is shown in this figure for comparison  $^{95}$ . For the  $t_{2g}$  orbitals, their spectra exhibit two broad peaks around -1.8 eV and 2.0 eV.

They are actually the lower and upper Hubbard bands. Near the Fermi level, besides the quasiparticle peak (at  $\omega=0$ ), two shoulder peaks appear at  $\omega=-0.1$  eV and 0.4 eV. These features are consistent with the experimental data<sup>96</sup> and previous DFT + DMFT calculations<sup>39,88,93</sup>. Especially, the lower Hubbard band at  $\omega=-1.8$  eV and the left shoulder peak at  $\omega=-0.1$  eV are clearly seen in the photoemission spectrum<sup>95</sup>. For the  $e_g$  orbitals, their spectra exhibit two broad peaks from 1.0 eV to 8.0 eV. They are totally unoccupied. Overall, the spectra obtained by the two impurity solvers are quite similar. But there are still small differences, because the two solvers work at different temperatures. The peaks in the vicinity of the Fermi level obtained by NORG are sharper and narrower than those obtained by CT-HYB, which implies that the quasiparticles are more coherent at lower temperatures.

### Unconventional superconductor La<sub>3</sub>Ni<sub>2</sub>O<sub>7</sub>

Superconductivity has been discovered in the high-pressure phase of  ${\rm La_3Ni_2O_7}$  with transition temperature up to  $80~{\rm K}^{97}$ . Previous experiments and theoretical calculations suggest that the Ni-3d orbitals are correlated 18,98,99. Furthermore, it is the Hund's mechanism that dominates the electronic correlations in  ${\rm La_3Ni_2O_7}^{19,90}$ . Around 14 GPa, this material undergoes a structural transition from Amam phase to Fmmm phase. We note that the five Ni-3d orbitals are also split into three  $t_{2g}$  orbitals and two  $e_g$  orbitals in the Fmmm phase. The  $t_{2g}$  orbitals are fully occupied, while the  $e_g$  orbitals ( $d_{x^2-y^2}$  and  $d_{z^2}$ ) are partially occupied. Here, we just focus on the

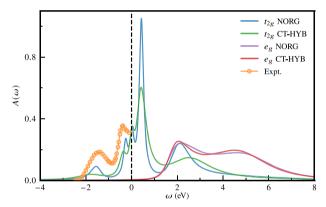


Fig. 3 | Calculated spectral functions for V's  $t_{2g}$  and  $e_g$  orbitals in SrVO<sub>3</sub>. They were extracted from Matsubara Green's functions  $G(i\omega_n)$  by analytic continuation calculations. The experimental spectrum (empty circles) was taken from ref. 95. The vertical dashed line denotes the Fermi level.

*Fmmm* phase of La<sub>3</sub>Ni<sub>2</sub>O<sub>7</sub>, and only the two  $e_g$  orbitals are explicitly considered in the quantum impurity model.

Figure 4 shows the calculated Green's functions and self-energy functions for Ni's  $e_g$  orbitals. For  $\mathrm{Im}G(i\omega_n)$ , both NORG and CT-HYB impurity solvers give consistent results. The functions are convex, implying metallic behaviors. For  $\mathrm{Im}\Sigma(i\omega_n)$  of the  $d_{x^2-y^2}$  and  $d_{z^2}$  orbitals, the results obtained by CT-HYB impurity solver exhibit oscillating behaviors again in the mid-frequency region. Just as discussed above, these oscillations are probably related to the improved estimator for self-energy function<sup>79</sup>. For  $\mathrm{Re}\Sigma(\omega)$ , the quasilinear region is quite small near the Fermi level, the corresponding slope for  $d_{z^2}$  is larger than that of  $d_{x^2-y^2}$ . It is suggested that this material is strongly correlated. And the  $d_{z^2}$  orbital is more correlated than the  $d_{x^2-y^2}$ . For  $\mathrm{Im}\Sigma(\omega)$ , they deviate from zero at  $\omega=0$ , which indicates considerable low-energy electron scattering and violation of the Fermi liquid theory. We note that orbital differentiation and non-Fermi-liquid behavior are two key signatures of Hundness<sup>22,100</sup>. Thus, the *Fmmm* phase of  $\mathrm{La_{3}Ni_{2}O_{7}}$  is likely a candidate of Hund metal<sup>19,90,99</sup>.

Next, we study the orbital-resolved spectral functions for the Ni's  $3d_{x^2-y^2}$  and  $3d_{z^2}$  orbitals. As shown in Fig. 5, the spectra obtained by the NORG and CT-HYB impurity solvers are roughly consistent with each other. The spectra from the NORG impurity solver exhibit more structures (i.e., shoulder peaks) near the Fermi level, while the spectra from the CT-HYB impurity solver are broader and smoother. This discrepancy can be ascribed to the finite temperature effect. We find that the quasiparticle peak of the  $d_{z^2}$  orbital is narrower than that of the  $d_{x^2-y^2}$  orbital. Thus, it is suggested that the  $d_{z^2}$  orbital is more renormalized than the  $d_{x^2-y^2}$  orbital,

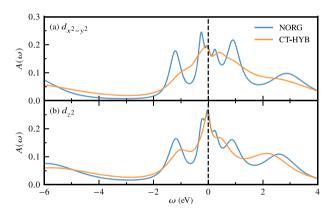


Fig. 5 | Calculated spectral functions for Ni's  $3d_{x^2-y^2}$  and  $3d_{z^2}$  orbitals in La<sub>3</sub>Ni<sub>2</sub>O<sub>7</sub>. The vertical dashed lines denote the Fermi levels.

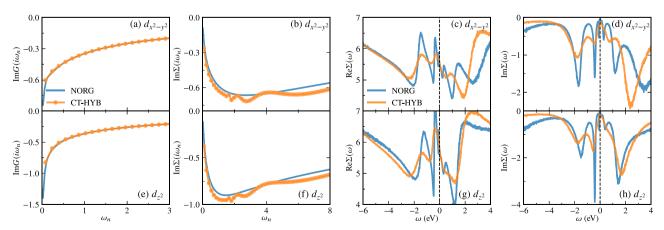


Fig. 4 | Calculated Green's functions and self-energy functions for Ni's  $3d_{x^2-y^2}$  and  $3d_{z^2}$  orbitals in La<sub>3</sub>Ni<sub>2</sub>O<sub>7</sub>. a, e Im $G(i\omega_n)$ . b, f Im $\Sigma(i\omega_n)$ . c, g Re $\Sigma(\omega)$ . d, h Im $\Sigma(\omega)$ . Panels **a–d** correspond to the Ni's  $3d_{x^2-y^2}$  orbitals, and panels **e–h** correspond to the Ni's  $3d_{z^2}$  orbitals. The vertical dashed lines denote the Fermi levels.

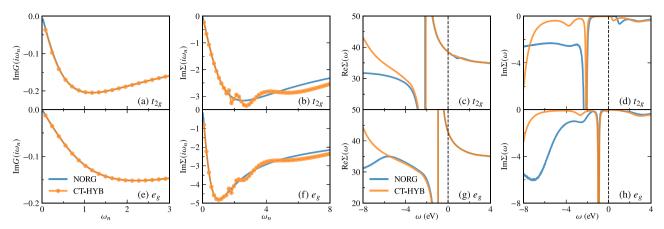


Fig. 6 | Calculated Green's functions and self-energy functions for Mn-3*d* orbitals in MnO at ambient pressure. a, e Im $G(i\omega_n)$ . b, f Im $\Sigma(i\omega_n)$ . c, g Re $\Sigma(\omega)$ . d, h Im $\Sigma(\omega)$ . Panels **a**–**d** correspond to the  $t_{2g}$  orbitals, and panels **e**–**h** correspond to the  $e_g$  orbitals. The vertical dashed lines denote the Fermi levels.

which agrees with previous DFT+DMFT calculations using the eDMFT  $code^{90}$ .

### Mott insulator MnO

The third example is MnO, which is a typical Mott insulator. It crystallizes in the rock-salt structure (the space group is  $Fm\overline{3}m$ ). The lattice parameter a=4.4457 Å. At ambient pressure, its band gap is about 2.0 eV. Around 90–105 GPa, MnO is expected to undergo an insulator-to-metal transition. The corresponding volume collapse, represented as  $V/V_0$ , is approximately  $0.68-0.63^{101-103}$ . Previous DFT + DMFT calculations have suggested that the insulator-to-metal transition is orbital-selective, with a simultaneous spin state transition occurring <sup>89</sup>. In the present work, we performed one-shot DFT + DMFT calculations for  $V/V_0 = 0.53$  and 1.0 to examine whether the Zen toolkit can successfully reproduce the metallic and insulating phases of MnO.

Figure 6 presents the calculated Green's functions and self-energy functions for the Mn's  $t_{2g}$  and  $e_g$  orbitals. The curves for  $\mathrm{Im}G(i\omega_n)$  are concave, indicating insulating characteristics. The CT-HYB impurity solver exhibits significant fluctuations in the mid-frequency region of  $\mathrm{Im}\Sigma(i\omega_n)$ . However, in the low-frequency range, the results obtained from the NORG and CT-HYB impurity solvers show good agreement. Both  $\mathrm{Re}\Sigma(\omega)$  and  $\mathrm{Im}\Sigma(\omega)$  display sharp and divergent features between -4.0 eV and 0.0 eV, which are characteristic of a correlated insulator. Notably, the results from the NORG impurity solver closely align with those from the CT-HYB impurity solver in the range of -2.0 eV to 2.0 eV. It appears that the numerical fluctuations in  $\mathrm{Im}\Sigma(i\omega_n)$  do not significantly impact the analytically continued  $\Sigma(\omega)$ .

The spectral functions are illustrated in Fig. 7. At ambient pressure, the calculated spectra exhibit a significant gap (approximately 2.0 eV), which is consistent with the experimental results <sup>104</sup>. When the volume is substantially decreased ( $V/V_0 = 0.53$ ), a quasiparticle peak emerges at the Fermi level, indicating that the material transitions into a metallic state. In fact, the Mott insulator-metal transition occurs at larger volumes or lower pressures. Due to the considerable differences in the bandwidths of the  $t_{2g}$  and  $e_g$  orbitals, the Mott transitions in these orbitals do not occur simultaneously. There exists a significant range of volume (or pressure) in which the  $t_{2g}$  orbitals are metallic while the  $e_g$  orbitals remain in insulating states. This phenomenon is referred to as the orbital-selective Mott phase <sup>89</sup>. Such behavior is a common characteristic of transition metal monoxides <sup>105,106</sup>. From Fig. 7b, d, we observe that both  $t_{2g}$  and  $e_g$  orbitals contribute to the quasiparticle peak. Furthermore, by utilizing the NORG impurity solver, additional features can be resolved.

Jan Kuneš et al. pointed out that there is collapse of magnetic moment during the pressure-driven Mott transition in MnO<sup>89</sup>. To verify this viewpoint, we studied the spin states and orbital occupancies for Mn's  $t_{2g}$  and  $e_g$  orbitals. Figure 8 shows the calculated probabilities of the possible spin

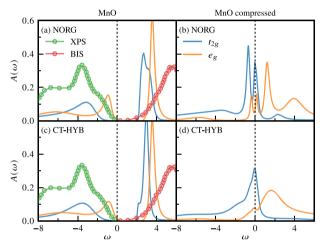


Fig. 7 | Calculated spectral functions for Mn's  $t_{2g}$  and  $e_g$  orbitals in MnO at  $V/V_0 = 1.0$  (left panels) and 0.53 (right panels). The experimental data was extracted from ref. 104. The vertical dashed lines denote the Fermi level.

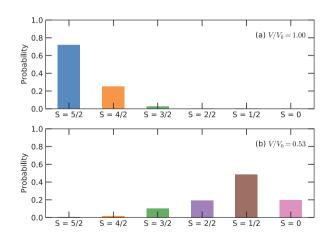


Fig. 8 | Probabilities of spin states in MnO at ambient pressure ( $V/V_0 = 1.0$ ) and high pressure ( $V/V_0 = 0.53$ ). The results are obtained by the CT-HYB impurity solver.

states. The magnetic moment M can be calculated as follows:

$$M = \sum_{i} P_i S_i, \tag{18}$$

where  $P_i$  is the probability of the ith spin state and  $S_i$  is the corresponding total spin. At ambient pressure, the S=5/2 state is dominant, and the magnetic moment is about  $4.7~\mu_B$ . Now the system is in high-spin state, the major electronic configuration is  $t_{2g}^3 e_g^2$ . Under high pressure, the contributions from the S=5/2 state can be ignored. It is the S=1/2 state that makes the predominant contribution. The related electronic configuration becomes  $t_{2g}^5 e_g^6$ . The total magnetic moment M is reduced to  $1.3~\mu_B$ . Clearly, there is a pressure-driven high-spin state (S=5/2) to low-spin state (S=1/2) transition in MnO. We confirm previously calculated results again<sup>89</sup>.

# **Discussion**

In this paper, we present a new ab initio many-body computational toolkit, Zen, which enables fully self-consistent DFT + DMFT calculations for correlated *d*-electron materials. This toolkit is now interfaced with the VASP code via the PLO scheme and with the Quantum ESPRESSO code via the MLWF scheme. It incorporates two highly efficient impurity solvers: NORG and CT-HYB. What distinguishes Zen from other existing DFT + DMFT packages is the NORG impurity solver, which can handle multi-orbital quantum impurity models with general interactions. It operates at zero temperature and is free from the fermionic sign problem, making it a promising alternative and complement to the CT-HYB impurity solver.

We selected three strongly correlated materials— $SrVO_3$ ,  $La_3Ni_2O_7$ , and MnO to benchmark the Zen toolkit. We conducted systematic DFT +DMFT calculations to investigate the electronic structures of these materials. Our calculated results align closely with experimental data and previous DFT + DMFT calculations, where available. Notably, the results obtained using the NORG impurity solver are consistent with those derived from the CT-HYB impurity solver. Minor discrepancies can be attributed to temperature effects. These benchmarks demonstrate that Zen is a reliable tool for studying strongly correlated materials. In the future, we aim to extend its capabilities to include additional features, such as lattice dynamics calculations  $^{50,52}$  and the exact double counting term  $^{36}$ .

Zen is an open-source toolkit. Its source code can be downloaded from  $GitHub^{107}$ . We have an open-source package for the NORG impurity solver, which can be downloaded from  $GitHub^{108}$ .

# Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

# Code availability

Our code is available in GitHub and can be accessed via https://github.com/huangli712/Zen and https://github.com/rqHe1/NORG.

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### **Author contributions**

R.Q.H., L.H., and Z.Y.L. proposed and supervised the project. J.M.W. and R.Q.H. wrote the NORG code. L.H. wrote the Zen code. J.M.W. carried out the calculations. L.H. prepared figures 1 and 8, while J.M.W. prepared all the other figures. J.X.W., R.Q.H., L.H., and Z.Y.L. analyzed the data and prepared the paper.

# Competing interests

The authors declare no competing interests.

### **Additional information**

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